

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Joseph M. Cannon
James A. Johanson
Philip D. Mooney

CASE 90-81-39

TITLE Adaptive Caller ID Storage Based On Answer Status Of Incoming Call

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SIR:

NEW APPLICATION UNDER 37 CFR 1.53(b)

Enclosed are the following papers relating to the above-named application for patent:

Specification
2 Informal sheets of drawing(s)
1 Assignment with Cover Sheet
Declaration and Power of Attorney

CLAIMS AS FILED				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	34 - 20 =	14	x \$18 =	\$252
Independent Claims	3 - 3 =	0	x \$78 =	\$0
Multiple Dependent Claim(s), if applicable			\$260 =	\$0
Basic Fee				\$760
			TOTAL FEE:	\$1012

Please file the application and charge **Lucent Technologies Deposit Account No. 12-2325** the amount of \$1012, to cover the filing fee. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 12-2325** as required to correct the error.

The Assistant Commissioner for Patents is hereby authorized to treat any concurrent or future reply, requiring a petition for extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time if not submitted with the reply.

Please address all correspondence to **Docket Administrator (Room 3C-512), Lucent Technologies Inc., 600 Mountain Avenue, P. O. Box 636, Murray Hill, New Jersey 07974-0636**. However, telephone calls should be made to me at 610-712-3753.

Respectfully,

John P. Veschi
John P. Veschi
Reg. No. 39058
Attorney for Applicant(s)

Date: November 5, 1999
Lucent Technologies Inc.
600 Mountain Avenue
P. O. Box 636
Murray Hill, New Jersey 07974-0636

Adaptive Caller ID Storage Based On Answer Status Of Incoming Call

Field of the Invention:

The invention is directed to the field of telephony, and in particular to the area of
5 Calling Number Identity (Caller ID) and the storage of and management of stored Caller
ID data.

Background of the Invention:

Caller ID is a feature whereby data is associated with an incoming telephone call
10 to provide the called party some information regarding the calling party, in particular,
information regarding the calling party's telephone. The called party typically has an
adjunct box, or a "feature-phone" with the adjunct box functionality incorporated therein,
with a liquid crystal display that provides the called party with the information as the
incoming call is being received. In the United States, the Caller ID information is
15 typically provided between the first ring signal and the second ring signal, as described in
Bellcore standard GR-30-CORE, incorporated herein by reference.

Alternatively, if the called party is engaged in a first telephone call while a second
telephone call is being received, the called party may receive Caller ID with Call Waiting
(CID/CW, or Type II Caller ID) information during a muting period as governed by
20 Bellcore standard GR-30-CORE, incorporated herein by reference, or alternatively
according to the procedure defined in U.S. Patent No. 5,943,407, incorporated herein by
reference. Similar standards, albeit not necessarily identical to the U.S. standards, are
applicable in other countries.

An attribute of Caller ID is that it enables a called party to screen incoming calls by determining, based on the information presented, whether the called party wants to take time to engage in a conversation with the incoming caller, or to have the call go unanswered or forwarded to an answering machine. An additional advantage is that the
5 called party can benefit from a record of the stored Caller ID data to use as a simple look-up device when returning a call to the incoming caller.

For example, the called party can scroll through the Caller ID data accumulated for a plurality of incoming calls by activating one or more keys of a telephone keypad while observing information presented on the Caller ID display. When the information
10 that the called party is looking for appears on the display, the called party can now place a return telephone call by dialing the displayed number (by activating the corresponding keys), or in some telephones may simply press a key associated with the Caller ID display to automatically cause the displayed telephone number to be called.

The opportunity to scroll through the stored telephone numbers as part of placing
15 an outgoing call may be habit-forming, especially for a user of a Caller ID device that enables an outgoing call to be automatically initiated upon the activation of a single key when the target telephone number is displayed. One proposed improvement to the existing technology is to make it accessible remotely, such that a user may call in to the user's telephone to review stored Caller ID data associated with one or more incoming
20 calls, and to subsequently initiate an outgoing call to a particular party. Such an improvement tends to increase the reliance of the user on the Caller ID device.

A problem with the reliance on the stored Caller ID data as a part of the process of placing an outgoing call is that the memory holding the Caller ID data is limited. It

can thus be frustrating to a user if the user is attempting to place an outgoing call, such as a return call, to a party that has previously called the user, but the user cannot place the call in the way the user has grown accustomed to because the target party's Caller ID information is not stored in the memory due to memory capacity limitations. There is
5 therefore a need for an intelligent approach to managing a Caller ID memory to increase the utility of the Caller ID feature.

Summary of the Invention:

This need is met by a telephone or adjunct Caller ID device that adaptively stores
10 Caller ID data based, for example, on a handling status of an incoming call. In a particular example, a telephone or Caller ID device includes a memory adapted to store Caller ID data associated with an incoming call, and a processor adapted to affect storage of the Caller ID data based on a status of the incoming call, such as whether the incoming call is answered.

15 In an alternative embodiment, a method of receiving an incoming telephone call includes the steps of receiving Caller ID data associated with the incoming telephone call, evaluating a status of the incoming telephone call, and making a Caller ID storage decision based on the status of the incoming telephone call. The decision may be based on handling of the incoming telephone call, such as whether the incoming telephone call
20 is answered, or whether it is answered by a person. The decision may be made proximate in time to the receipt of the incoming telephone call, or in response to a circumstance, such as in response to receipt of an indication that the memory is more full than a threshold, or in response to user input. For example, the decision may be made in

conjunction with other storage decisions regarding other Caller ID data in response to the circumstance.

Brief Description of the Drawing:

5 Objects and advantages of the invention will be apparent to one of skill in the art upon review of the following detailed description in light of the drawing, wherein:

 Figure 1 is a simplified block diagram of a Caller ID adjunct box adapted to operate according to the invention;

 Figure 2 is a diagram of an exemplary image appearing on a display of the Caller
10 ID device of Figure 1;

 Figure 3 is a simplified operational flowchart of an exemplary process according to the invention;

 Figure 4 is another simplified operational flowchart of another exemplary process according to the invention;

15 Figure 5 is yet another simplified operational flowchart of yet another exemplary process according to the invention;

 Figure 6 is still another simplified operational flowchart of an exemplary process according to the invention; and

 Figure 7 is a simplified block diagram of a telephone incorporating the elements
20 of a Caller ID device according to the invention.

Detailed Description:

Figure 1 is a simplified block diagram of a Caller ID device 105 incorporated, for example, into an adjunct box, and coupled to a network 107 and a telephone 109. The Caller ID device 105 includes an interface 111 to the network 107. For example, the interface 111 may be a telephone line interface (TLI) for an exemplary embodiment wherein the network 107 is a public switched telephone network (PSTN).

The Caller ID device 105 also includes a processor 113, such as a digital signal processor (DSP) or microcontroller, a display 115, such as a liquid crystal display (LCD), a memory 117, and an input unit 119, such as a keypad. At the block diagram level shown in Figure 1, Caller ID device 105 may appear similar to a conventional adjunct box based Caller ID device. However, according to the invention, the operations of processor 113, display 115 and/or memory 117 are improved to increase the utility of the Caller ID feature. In particular, they are improved to make better use of the memory 117 and/or to make the display 115 more user friendly.

In one embodiment according to the invention, the processor 113 is adapted to determine if the incoming call is answered. Upon such a determination, the processor 113 is adapted to take a particular action that differs from that taken by a conventional Caller ID device. For example, the processor 113 may be adapted to elect not to make any record in memory 117 of such a call, or to make a modified record.

Figure 2 provides an exemplary image of the contents of display 115, identified as 115', when an incoming call is being received, or when the record of the incoming call is being retrieved by a user, such as by activating one or more keys of the keypad 119. In this particular example, the display 115' contains a first field 202 that is adapted to

display a name of a party associated with the incoming caller's telephone. This may in fact be the name of the incoming caller, or may alternatively be merely the name of the person associated with the telephone, as someone else, such as a friend or family member, is actually placing the call.

5 A second field 204 includes the telephone number of the calling telephone. A third field 206 displays the time that the incoming call is being received, if the display is provided in real time as the call is arriving, or the time that the call was received if the display is provided in response to user input as part of a process of reviewing the contents of memory 117. Similarly, a fourth field 208 displays the date associated with the
10 arriving or stored incoming call. Additional fields may also be incorporated into the image of display 115'.

 In a conventional Caller ID device, the information on display 115' is provided to the user as an image while the incoming call is being received, is stored in the memory, and is subsequently displayed to the user when the user is recalling or scanning through
15 the stored Caller ID information. This is problematic as it unnecessarily uses memory space and annoys the user by forcing the user to scan through potentially meaningless data. This problem can be especially disconcerting if the data associated with a large number of incoming telephone calls are no longer relevant to the user, such as is the case, for example, if the user desires to return only unanswered calls but is troubled with
20 filtering through data associated with many answered calls.

Figure 3 provides a simple operational flowchart for one embodiment according to the invention. At step 301, an incoming telephone call is received and accompanied by

Caller ID data. At step 303, a determination is made as to whether the incoming call is answered, and at step 305 a storage decision is made regarding the Caller ID data.

The determination at step 303 may be based, in one embodiment, on a determination that the called party's telephone does not transition to an off-hook status while ring signals associated with the incoming call are received, and that the ring signals terminate as a result of the incoming caller ending the attempt to contact the called party (or being forwarded to a central office based answering service). Alternatively, if the called party has an answering machine coupled to or integrated into the called party's telephone, which will result in an off-hook transition even if the called party does not answer the call, the determination at step 303 may include an evaluation as to whether the incoming call is answered by a real person or by the answering machine.

This analysis may be as simple as the processor 113 being aware of the signal associated with the issuance of an outgoing greeting message, such that the processor is thus aware of the fact that the incoming call is answered by the party when there is no command for an OGM. Alternatively, for situations where the called party has a telephone answering device that is separate from the Caller ID device, this analysis may be as complicated as the processor sensing for the issuance of a particular sound, such as a "beep", that issues from the answering device to prompt the incoming caller to leave a message.

The storage decision 305 may be a decision to not store the Caller ID data if the incoming call is answered, and to store the Caller ID data if the incoming call is not answered. Alternatively, the decision may be to store the Caller ID data regardless of whether the call is answered, but to add a flag to identify records associated with

answered calls so that any future display or other message handling steps may take this fact into consideration. Similarly, the decision to store and/or to flag may be based on whether the call is answered by a person or by an answering machine.

If the Caller ID data are stored and flagged to indicate those messages that are answered, subsequent processing may act on this information. For example, according to the process of Figure 4, the fact that a call was answered may affect the display of related Caller ID data when a user of the device is subsequently reviewing the data. At step 402 the user provides input, such as via the keypad 119. The input informs the processor 113 of the user's desire to review Caller ID data.

If configured according to this embodiment, the processor evaluates, at step 404, the stored records as part of retrieving them, to determine if any of the records are flagged as being associated with an answered call. The processor then makes a display decision at step 406 wherein the processor elects to not display any records associated with calls that were answered. If the user is attempting to return one or more unanswered calls, and the device is thus configured, the user is able to scroll through only the Caller ID data associated with unanswered calls, advantageously eliminating any clutter or confusion associated with wading through a plurality of records associated with answered calls. Of course, depending on the needs of the user, the device may alternatively be configured to only display Caller ID data associated with answered calls.

The aforementioned embodiments describe the intelligent management of Caller ID messages by taking unique action at the time the messages are stored, at the time messages are retrieved, or a combination of both. In an alternative embodiment depicted in Figure 5, an intermediate point in time may be preferred. For example, it may be

preferable to automatically perform the evaluation of the answered status at the time the Caller ID data is being stored, as described with respect to the embodiment of Figure 3, but it may also be preferred that the Caller ID data always be stored, and the evaluation is performed at a subsequent point in time.

5 Thus, for example, the Caller ID data may be stored in the normal manner, as part of the receipt of an incoming telephone call. Then, at step 501, presumably at a subsequent point in time, such as when telephone 109 is in an on-hook condition, one or more records are retrieved and evaluated. At step 503 a storage decision is made, and at step 505 the memory 117 is updated to reflect the storage decision. The steps described
10 with respect to the embodiment of Figure 5 may occur automatically, such as on a periodic basis, such as once per day, week, month, etc., or may occur after the completion of each incoming telephone call and the corresponding storage of the record associated therewith. Alternatively, as depicted in the simplified flowchart of Figure 6, the process may occur based on user input, such as, for example, user activation of one or more
15 particular keys of keypad 119 during step 602. For example, the user may provide input to “delete all answered messages,” at which point the steps 501-503 will be carried out to evaluate the stored records and update the memory. Alternatively, of course, the user input could be to “delete all unanswered messages”, at which point the appropriate steps will occur.

20 The aforementioned embodiments are described with respect to an adjunct box 105 of Figure 1. Alternatively, the functionality of the Caller ID device may be incorporated into a telephone, such as a “feature phone” as shown, for example, as telephone 701 of Figure 7, which includes interface 711, processor 713, display 715,

memory 717 and keypad 719, which may be similar to and provide the same functionality as the corresponding elements of the adjunct box 105. However, each of the elements 711-719 may also perform other telephone functions.

For example, the keypad 719 may function as both a telephone keypad and as a keypad for providing input for managing Caller ID. Similarly, the memory 711 may store Caller ID data and may also store additional information, such as speed dial information or answering machine information, such as outgoing or incoming messages. Also, the processor 713 may be adapted to perform control functions for the telephone as well as for the Caller ID portion thereof. Telephone 701 also includes conventional telephone functionality 721 for use in performing conventional telephone functions.

Based on an understanding of these various embodiments, one of skill in the art is likely to conceive of alternative embodiments that are not specifically enumerated here, but that are clearly within the scope of the invention. For example, the analysis of the data may be based not only on the answered status of the incoming call associated therewith, but may also be based on other factors. The analysis may, for example, also be based on whether the incoming Caller ID data contains information identifying the incoming caller or the incoming caller's telephone number. If these data are blocked by the incoming caller, then this fact may also play into the decisions of whether to store the data, flag the data or display the data. Similarly, the analysis may include factors such as the length of the call, the area code or Caller ID data of the call, and a determination of whether the Caller ID data already is stored.

Claims:

- 1 1. A Caller ID device, comprising:
2 a memory adapted to store Caller ID data associated with an incoming call; and
3 a processor adapted to affect storage of the Caller ID data based on a status of the
4 incoming call.
- 1 2. A device as recited in claim 1, wherein the status of the incoming call relates to a
2 handling of the incoming call.
- 1 3. A device as recited in claim 2, wherein the handling of the incoming call relates to
2 whether the incoming call is answered.
- 1 4. A device as recited in claim 3, wherein the handling further relates to whether an
2 answered call is answered by a person or by a machine.
- 1 5. A device as recited in claim 3, wherein the affect is that at least a portion of the
2 Caller ID data is not stored if the call is answered.
- 1 6. A device as recited in claim 3, wherein the affect is that the data are stored in the
2 memory with a flag indicating whether the call was answered.
- 1 7. A device as recited in claim 1, wherein the processor is adapted to affect storage
2 of a plurality of previously stored Caller ID data in response to a condition.
- 1 8. A device as recited in claim 7, wherein the condition is an indication that the
2 memory is more full than a predetermined threshold.
- 1 9. A device as recited in claim 7, wherein the condition is user input.
- 1 10. A device as recited in claim 9, further comprising a keypad, wherein the user
2 input is activation of the keypad.
- 1 11. A telephone, including a Caller ID device, the device comprising:

2 a memory adapted to store Caller ID data associated with an incoming call; and
3 a processor adapted to affect storage of the Caller ID data based on a status of the
4 incoming call.

1 12. A device as recited in claim 11, wherein the status of the incoming call relates to a
2 handling of the incoming call.

1 13. A device as recited in claim 12, wherein the handling of the incoming call relates
2 to whether the incoming call is answered.

1 14. A device as recited in claim 13, wherein the handling further relates to whether an
2 answered call is answered by a person or by a machine.

1 15. A device as recited in claim 13, wherein the affect is that at least a portion of the
2 Caller ID data is not stored if the call is answered.

1 16. A device as recited in claim 13, wherein the affect is that the data are stored in the
2 memory with a flag indicating whether the call was answered.

1 17. A device as recited in claim 11, wherein the processor is adapted to affect storage
2 of a plurality of previously stored Caller ID data in response to a condition.

1 18. A device as recited in claim 17, wherein the condition is an indication that the
2 memory is more full than a predetermined threshold.

1 19. A device as recited in claim 17, wherein the condition is user input.

1 20. A device as recited in claim 19, further comprising a keypad, wherein the user
2 input is activation of the keypad.

1 21. A method of receiving an incoming telephone call, comprising the steps of:
2 receiving Caller ID data associated with the incoming telephone call;
3 evaluating a status of the incoming telephone call; and

4 making a Caller ID storage decision based on the status of the incoming telephone
5 call.

1 22. A method as recited in claim 21, wherein the evaluating step determines a
2 handling of the incoming telephone call.

1 23. A method as recited in claim 22, wherein the determination of the handling
2 determines whether the incoming telephone call is answered.

1 24. A method as recited in claim 23, wherein the determination further determines,
2 for an answered incoming telephone call, whether the call was answered by a person or
3 by a machine.

1 25. A method as recited in claim 23, wherein the decision is also based on a blocked
2 status of at least a portion of the Caller ID data.

1 26. A method as recited in claim 21, wherein the storage decision is to not store at
2 least a portion of the Caller ID data if the incoming call is answered.

1 27. A method as recited in claim 26, wherein the storage decision is to store a flag
2 with the Caller ID data if the incoming call is answered.

1 28. A method as recited in claim 21, wherein the storage decision is made proximate
2 in time to the reception of the incoming telephone call.

1 29. A method as recited in claim 21, wherein the storage decision is made in response
2 to user input and affects Caller ID data already stored.

1 30. A method as recited in claim 29, wherein the storage decision is made in
2 conjunction with other storage decisions regarding other Caller ID data.

1 31. A method as recited in claim 30, wherein the storage decision is made in response
2 to a determination that the memory is more full than a predetermined threshold.

1 32. A method as recited in claim 30, wherein the storage decision is made in response
2 to user input.

1 33. A method as recited in claim 32, wherein the user input is the activation of a
2 keypad associated with a Caller ID device.

1 34. A method as recited in claim 33, wherein the Caller ID device is part of a
2 telephone.

Abstract of the Disclosure

A telephone or adjunct Caller ID device adaptively stores Caller ID data based, for example, on a handling status of an incoming call. In a particular example, a telephone or Caller ID device includes a memory adapted to store Caller ID data

5 associated with an incoming call, and a processor adapted to affect storage of the Caller ID data based on a status of the incoming call, such as whether the incoming call is answered. In an alternative embodiment, a method of receiving an incoming telephone call includes the steps of receiving Caller ID data associated with the incoming telephone call, evaluating a status of the incoming telephone call, and making a Caller ID storage

10 decision based on the status of the incoming telephone call. The decision may be based on handling of the incoming telephone call, such as whether the incoming telephone call is answered, or whether it is answered by a person. The decision may be made proximate in time to the receipt of the incoming telephone call, or in response to a circumstance, such as in response to receipt of an indication that the memory is more full than a

15 threshold, or in response to user input. For example, the decision may be made in conjunction with other storage decisions regarding other Caller ID data in response to the circumstance.

FIG. 1

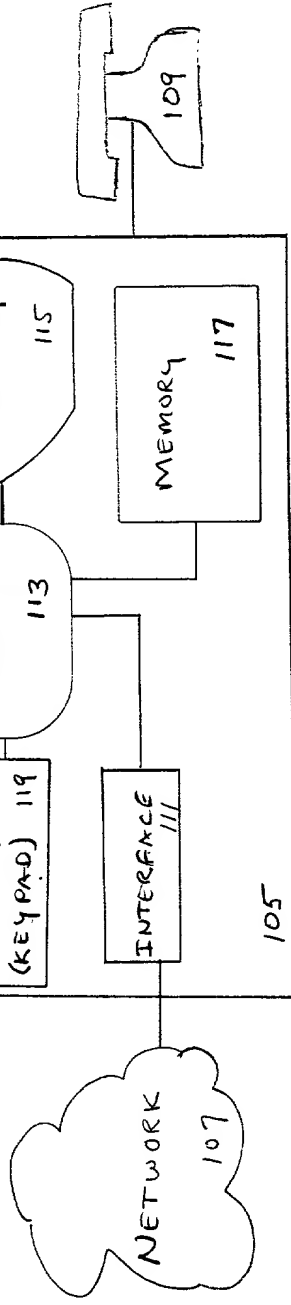


FIG. 2

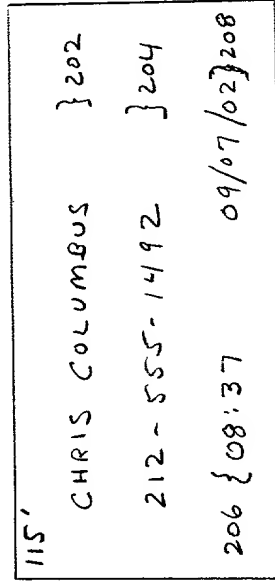


FIG. 3

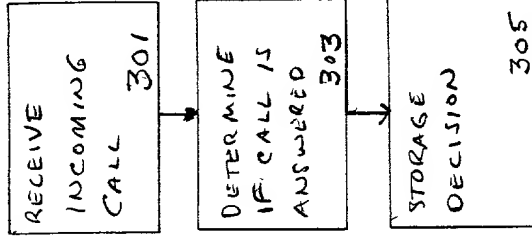


FIG. 4

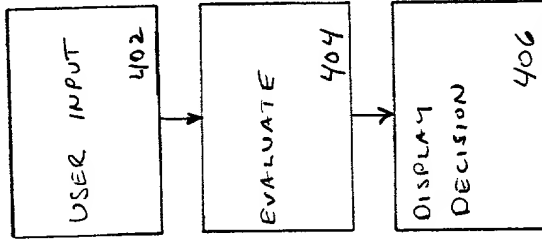


FIG. 5

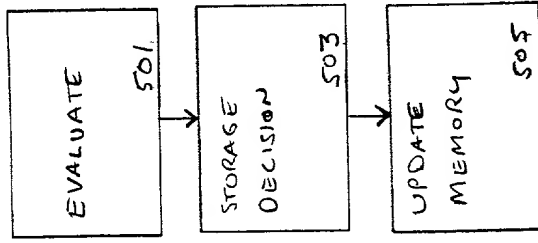


FIG. 6

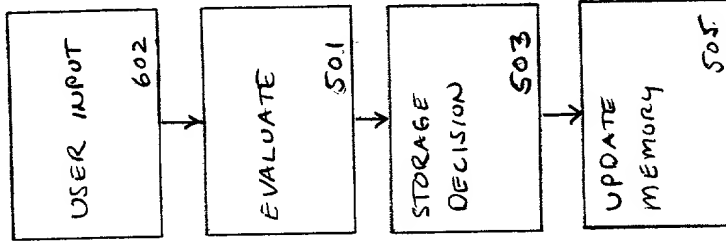
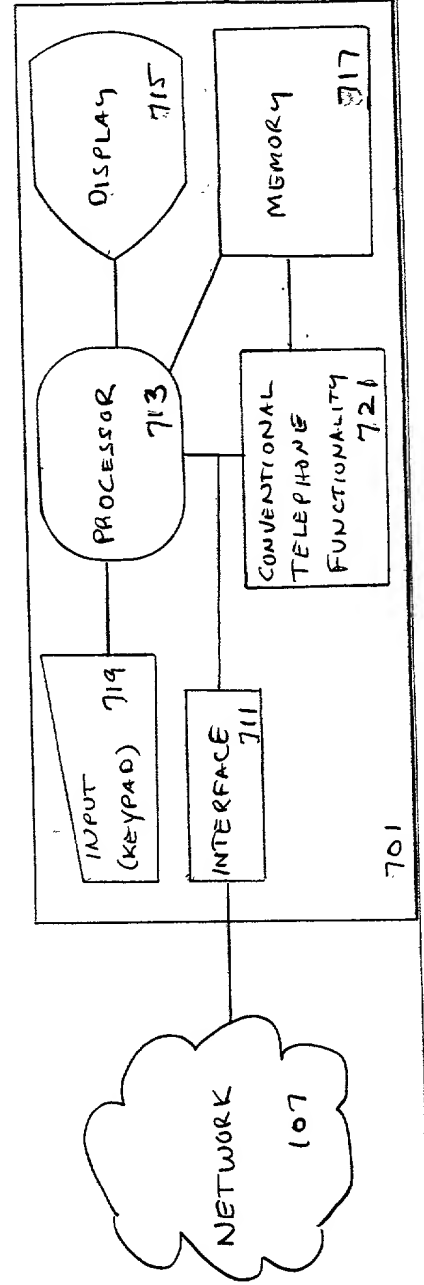


FIG. 7



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Declaration and Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Adaptive Caller ID Storage Based On Answer Status Of Incoming Call** the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to in this oath or declaration.

I acknowledge the duty to disclose all information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorney(s) with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith:

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Thomas J. Bean	(Reg. No. P-44528)
Lester H. Birnbaum	(Reg. No. 25830)
Richard J. Botos	(Reg. No. 32016)
Jeffery J. Brosemer	(Reg. No. 36096)
Kenneth M. Brown	(Reg. No. 37590)
Craig J. Cox	(Reg. No. 39643)
Donald P. Dinella	(Reg. No. 39961)
Guy H. Eriksen	(Reg. No. 41736)
Martin I. Finston	(Reg. No. 31613)
James H. Fox	(Reg. No. 29379)
William S. Francos	(Reg. No. 38456)
Barry H. Freedman	(Reg. No. 26166)
Julio A. Garceran	(Reg. No. 37138)
Mony R. Ghose	(Reg. No. 38159)
Jimmy Goo	(Reg. No. 36528)
Anthony Grillo	(Reg. No. 36535)
Stephen M. Gurey	(Reg. No. 27336)
John M. Harman	(Reg. No. 38173)
John W. Hayes	(Reg. No. 33900)
Michael B. Johannesen	(Reg. No. 35557)
Mark A. Kurisko	(Reg. No. 38944)
Irena Lager	(Reg. No. 39260)
Christopher N. Malvone	(Reg. No. 34866)
Scott W. McLellan	(Reg. No. 30776)
Martin G. Meder	(Reg. No. 34674)
John C. Moran	(Reg. No. 30782)
Michael A. Morra	(Reg. No. 28975)
Gregory J. Murgia	(Reg. No. 41209)
Claude R. Narcisse	(Reg. No. 38979)
Joseph J. Opalach	(Reg. No. 36229)
Neil R. Ormos	(Reg. No. 35309)
Eugen E. Pacher	(Reg. No. 29964)
Jack R. Penrod	(Reg. No. 31864)
Daniel J. Piotrowski	(Reg. No. 42079)
Gregory C. Ranieri	(Reg. No. 29695)
Scott J. Rittman	(Reg. No. 39010)
Eugene J. Rosenthal	(Reg. No. 36658)
Bruce S. Schneider	(Reg. No. 27949)
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David Volejnicek	(Reg. No. 29355)
Charles L. Warren	(Reg. No. 27407)
Jeffrey M. Weinick	(Reg. No. 36304)
Eli Weiss	(Reg. No. 17765)

CONFIDENTIAL

J.M. Cannon 90-81-39

Please address all correspondence to the Docket Administrator (Rm. 3C-512), Lucent Technologies Inc., 600 Mountain Avenue, P. O. Box 636, Murray Hill, New Jersey 07974-0636. Telephone calls should be made to John P. Veschi by dialing 610-712-3753.

Full name of 1st joint inventor: Joseph M. Cannon

Inventor's signature Joseph M. Cannon Date 11-4-99

Residence: Harleysville, Montgomery County, Pennsylvania

Citizenship: United States of America

Post Office Address: 913 Harcourt Lane
Harleysville, Pennsylvania 19438

Full name of 2nd joint inventor: James A. Johanson

Inventor's signature James A. Johanson Date 11/4/99

Residence: Macungie, Lehigh County, Pennsylvania

Citizenship: United States of America

Post Office Address: 6336 Larch Circle
Macungie, Pennsylvania 18062

Full name of 3rd joint inventor: Philip D. Mooney

Inventor's signature Philip D. Mooney Date 11/4/99

Residence: North Wales, Montgomery County, Pennsylvania

Citizenship: United States of America

Post Office Address: 508 De Kalb Pike
North Wales, Pennsylvania 19454

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